

# Functional outcomes after reverse shoulder arthroplasty. A systematic review comparing anterosuperior and deltopectoral surgical approaches.

Daniel Gramer<sup>1</sup>, Zachary Ludwig<sup>1</sup>, Vassilios Vardaxis<sup>2</sup>

<sup>1</sup>College of Osteopathic Medicine, <sup>2</sup>Department of Physical Therapy | Des Moines University | West Des Moines, IA

## Introduction

- Reverse shoulder arthroplasty (RSA) has been increasing in popularity over the last 20 years. It is the most popular shoulder arthroplasty procedure.<sup>1</sup>
- A major benefit of the RSA over the anatomic total shoulder arthroplasty (TSA) is that it can be performed for an expanding number of indications, some of which have been historically difficult to treat.<sup>2,3</sup>
- The two most common approaches for the RSA are the deltopectoral (DP) approach and the anterosuperior (AS) approach.

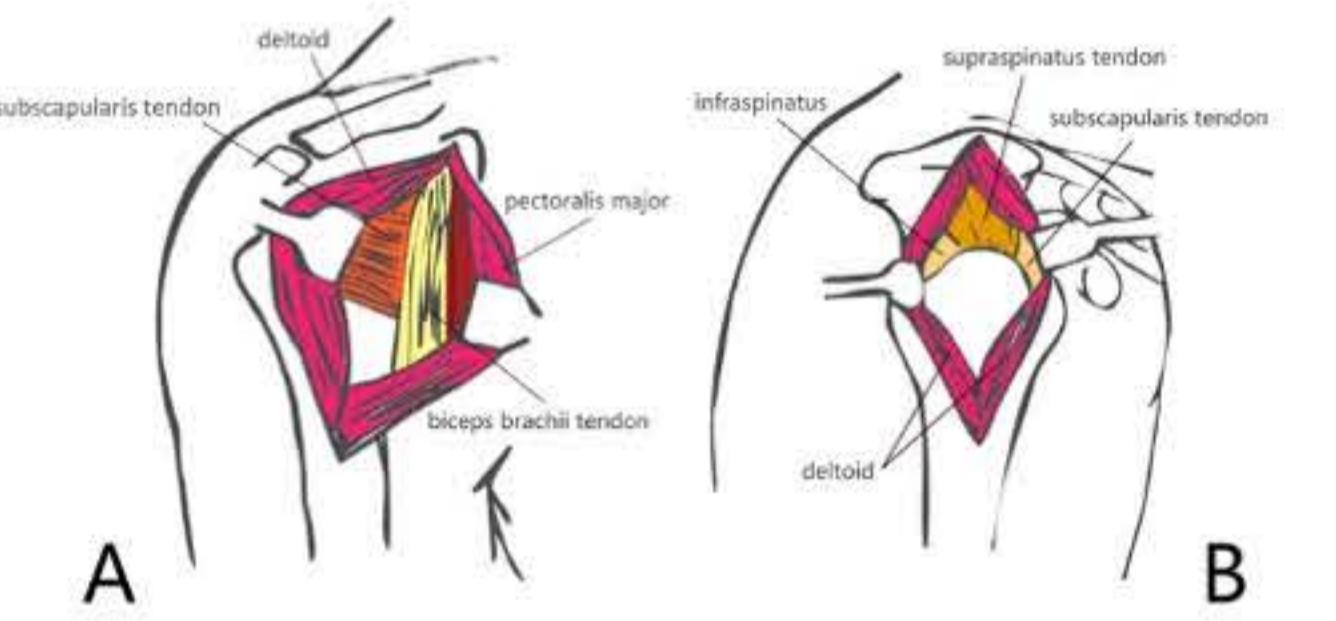


Fig. 1. Deltpectoral approach (A) and anterosuperior approach (B)<sup>6</sup>

- DP approach uses an incision along the deltopectoral groove. The subscapularis tendon and long head of biceps must be tenotomized or carefully displaced.<sup>4</sup>
- AS approach incision is along the deltoid's anterior and middle thirds. Incision is limited to 5 cm distal to the acromion to reduce risk of axillary nerve damage.<sup>5</sup>
- Alternate names for the AS approach include anterolateral, deltoid-split, superolateral, acromial, superior, and trans-deltoid.<sup>4,6,7</sup>
- CS, OSS, DASH, WOSI, and ASES are functional outcomes to assess patient response to shoulder procedures.

## Purpose

To assist orthopedic surgeons and patients in selecting the type of RSA to perform, functional outcomes must be compared. The goal of this review is to provide a better understanding of the expected patient functionality following deltopectoral or anterosuperior RSA.

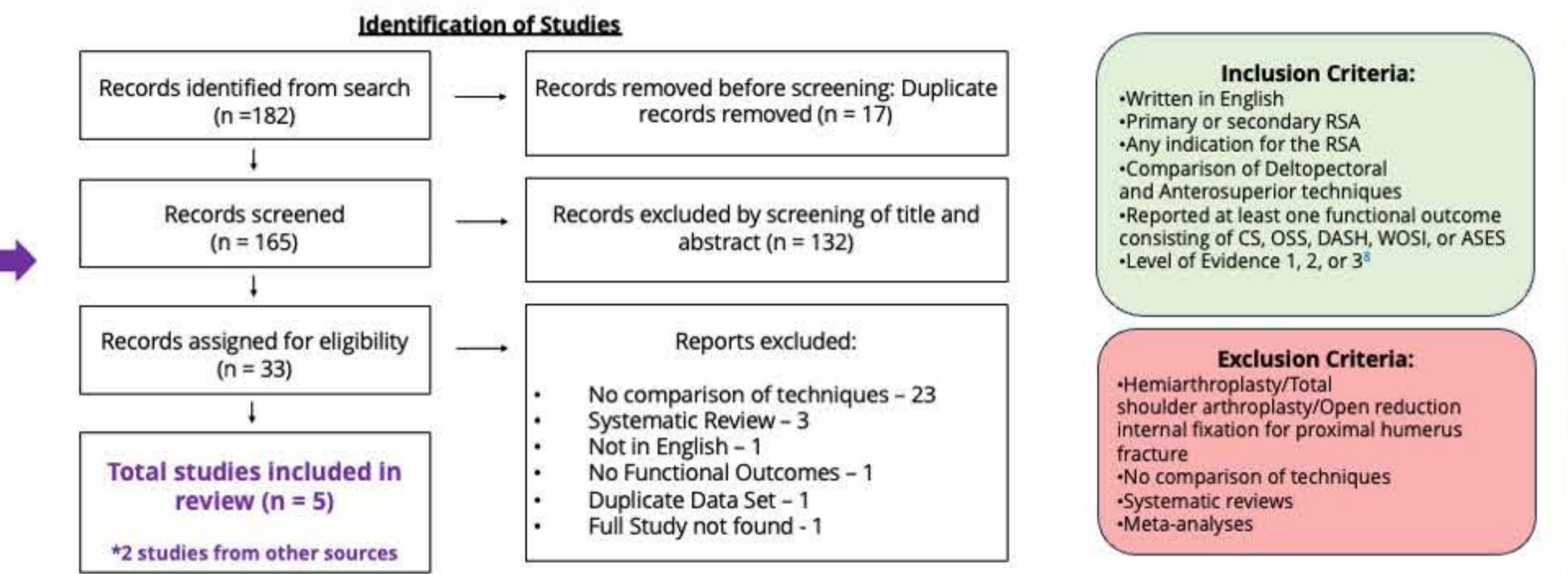
## Methods

### PubMed Search Criteria:

(reverse shoulder arthroplasty OR RSA OR shoulder arthroplasty OR reverse total shoulder arthroplasty) AND (anterosuperior OR anterolateral OR superolateral OR deltoid-split OR lateral deltoid OR deltoid splitting OR acromial OR anterolateral acromial OR superior approach OR transdeltoid) AND (constant OR CS OR ASES OR CMS OR OSS OR oxford OR DASH OR quickDASH OR Western Ontario OR WOSI OR constant murley score OR constant murley OR constant score)

OR

(reverse shoulder arthroplasty OR RSA OR shoulder arthroplasty OR reverse total shoulder arthroplasty) AND (deltpectoral) AND (constant OR CS OR ASES OR CMS OR OSS OR oxford OR DASH OR quickDASH OR Western Ontario OR WOSI OR constant murley score OR constant murley OR constant score)



## Results

Table 1 Functional Outcome Appraisal

Test Descriptions							
	SCALE	Direction	Description	Validity	Reliability	MCID	SEM
CS/Constant	0-100	Higher / Better	10 Items (Exam, Subjective)	Construct, Criterion <sup>12</sup>	Very Good ICC 0.8-0.87 <sup>1</sup>	5.7 <sup>13</sup>	8 <sup>20</sup>
ASES	0-100	Higher / Better	34 Items (Exam, Subjective)	Content, Construct <sup>12</sup>	Very Good ICC 0.84-0.96 <sup>13</sup>	13.6 <sup>13</sup>	6.7 <sup>21</sup>
DASH	0-100	Higher / Worse	30 Items (Exam, Subjective)	Content, Construct <sup>12</sup>	Excellent ICC 0.93-0.98 <sup>10</sup>	10.2 <sup>11</sup>	3.5 <sup>22</sup>
OSS	0-48	Higher/ Worse	12 Items (Exam, Subjective)	Construct <sup>12</sup>	Excellent ICC 0.98 <sup>14</sup>	4.3 <sup>16</sup>	3.3 <sup>18</sup>
WOSI	0-2,100	Higher / Worse	21 Items (Exam, Subjective)	Construct <sup>12</sup>	Excellent ICC 0.91-0.95 <sup>15</sup>	126.4 <sup>17</sup>	130.6 <sup>19</sup>

**Description of assessments used to evaluate shoulder function.** Minimal clinically important differences (MCID) are patient derived scores that reflect changes in interventions that are clinically significant.

### Constant Score:

- Introduced to determine the functionality after the treatment of a shoulder injury, irrespective of diagnosis.
- Four subscales: pain (15 points), activities of daily living (20 points), strength (25 points) and range of motion: forward elevation, external rotation, abduction and internal rotation of the shoulder (40 points).

### ASES:

- ASES score includes direct questions regarding both pain and function.
- One fifteen-item question for pain, one fifteen-item question for function, and the four-item question for perceived improvement.

Table 2 Results Summary

STUDY	TYPE	CASES	FOLLOW-UP	SUMMARY	MINORS
Godeneche et al., 2023	Retrospective cohort study	501 (413 DP, 88 AS)	Minimum 2-year follow-up (2.0 to 5.5 years)	<b>AS approach worse</b> <b>Constant scores</b>	21
Torrens et al., 2021	Randomized trial	98 (49 DP, 49 AS)	2-year follow-up	<b>No difference</b> <b>Constant scores</b>	23
Izquierdo-Fernandez et al., 2021	Prospective cross-sectional cohort study	32 (13 DP, 19 AS)	1-year follow-up 7-year follow-up	<b>No difference</b> <b>Constant scores</b>	20
Aibinder et al., 2018	Retrospective cohort study	109 (22 DP, 87 AS)	Minimum 2-year follow-up (2.0 to 9.9 years) Mean 3.7 years	<b>No difference</b> <b>ASES</b>	18
Schutte et al., 2022	Retrospective cohort study	29 (16 DP, 13 DS)	Minimum 1-year follow-up Mean 28.6 ± 19.9 months DP Mean 21.1 ± 9.5 months DS	<b>No difference</b> <b>ASES</b>	20

**Functional outcomes comparing DP and AS approaches for RSA.** ("DS" (Schutte et al. study) is synonymous with "AS"). MINORS is a valid instrument designed to assess the methodological quality of non-randomized surgical studies, whether comparative or non-comparative (scored out of 24).<sup>23</sup>

## Discussion/Conclusion

- A database query of PubMed provided 33 papers that met inclusion and exclusion criteria. Five studies were included in the review.
- Out of five functional outcomes, CS and ASES are two functional outcomes utilized by the studies (table 2).
- One study found statistical significance that the AS approach was worse than the DP approach when comparing post-operative and net improvement in CS.<sup>24</sup> The reported outcome was greater than the MCID, suggesting clinical significance (table 1, table 2).
- Although similar studies comparing the anterosuperior vs deltopectoral approaches for RSA are available<sup>5,7,25</sup>, their focus is on ROM, hardware positioning, and complications. Data comparing functional outcomes of these approaches is limited. Further studies need to be performed to better understand the benefits and consequences of each surgical approach in respect to post-operative function.

## References

- Chalmers, P. N., Salazar, D. H., Romeo, A. A., Kerner, J. D., Yamaguchi, K., & Chamberlain, A. M. (2016). Comparative Utilization of Reverse and Anatomic Total Shoulder Arthroplasty: A Comprehensive Analysis of a High Volume Center. *The Journal of the American Academy of Orthopaedic Surgeons*, 26(2). e50-e55. <https://doi.org/10.5435/JAAOS-D-17-00075>
- Chalmers, P. N., & Kerner, J. D. (2016). Expanding roles for reverse shoulder arthroplasty. *Current reviews in musculoskeletal medicine*, 9(1), 40-48. <https://doi.org/10.1007/s12179-016-0316-0>
- Betz, M. J., Atz, K. T., Widicks, J. S., McFarland, E. G., & Srikanthan, U. (2021). Increasing incidence of primary reverse and anatomic total shoulder arthroplasty in the United States. *Journal of shoulder and elbow surgery*, 30(3), 1159-1166. <https://doi.org/10.1016/j.jse.2020.08.010>
- Chalmers, P. N., Van Thiel, G. S., & Trentham, S. W. (2016). Surgical Exposures of the Shoulder. *The Journal of the American Academy of Orthopaedic Surgeons*, 24(4), 250-258. <https://doi.org/10.5435/JAAOS-D-16-00342>
- Mohr, D., Wein, F., Ditzay, C., Valente, P., & Streeks, F. (2011). Surgical technique: the anterosuperior approach for reverse shoulder arthroplasty. *Clinical orthopaedics and related research*, 459(1), 2461-2468. <https://doi.org/10.1007/s11999-011-1861-7>
- Xie, L., Zhang, Y., Chen, C., Zhou, W., Chen, H., & Cai, L. (2019). Delto-split approach versus deltopectoral approach for proximal humerus fractures: A systematic review and meta-analysis. *Orthopedics & Traumatology: Surgery & Research*, 105(2), 307-316. <https://doi.org/10.1016/j.otsr.2018.12.004>
- Seeh, G. H., Park, J. J., & Park, S. G. (2022). Anterosuperior approach versus deltopectoral approach for reverse total shoulder arthroplasty: a systematic review and meta-analysis. *Journal of orthopaedic surgery and research*, 17(1), 527. <https://doi.org/10.1186/s13010-022-03844-9>
- Camacho, G. L. (2015). Level of Evidence. *Revista brasileira de ortopedia*, 44(6), IFCI-IFC2. [https://doi.org/10.1016/S2235-4971\(15\)30145-5](https://doi.org/10.1016/S2235-4971(15)30145-5)
- Johansson, K. M., & Adsumela, L. E. (2005). Intraoperative and interoperator reliability for the strength test in the Constant-Murley shoulder assessment. *Journal of shoulder and elbow surgery*, 14(3), 273-278. <https://doi.org/10.1016/j.jse.2005.01.001>
- Beaton, D. E., Katz, J. N., Fossel, A. H., Wright, J. G., & Bombardier, C. (2001). Measuring the whole or the part? validity, reliability, and responsiveness of the shoulder, arm, shoulder and hand outcome measures in three regions of the upper extremity. *Journal of Health Psychology*, 14(2), 128-142. <https://doi.org/10.1177/096217480101400202>
- McDonald, J. C., & Woodhouse, J. C. (2009). Measuring shoulder function: a systematic review of four questionnaires. *Arthritis Care & Research*: Official Journal of the American College of Rheumatology, 61(5), 523-532. <https://doi.org/10.1002/acr.20530>
- Anger, F., Schweyer, H. K., Aschermann, A., Shimmen, R. R., & Goldhahn, J. (2013). Measures of adult shoulder function: Disabilities of the arm, shoulder, and hand questionnaire (DASH) and its short version (QuickDASH), shoulder pain and disability index (SPADI), American shoulder and elbow surgeons (ASES) society standardized shoulder assessment form, constant (Murley) score (CS), simple shoulder test (SST), shoulder function score (OSS), shoulder disability questionnaire (SDQ), and Western Ontario shoulder instability score (WOSI). *Arthritis Care & Research*, 63, S174-S188. <https://doi.org/10.1002/acr.20703>
- Smits, J., Hurts, P. H., Wright, J. G., Zuckerman, J. D., & Roche, C. P. (2018). Quantifying success after total shoulder arthroplasty: the minimal clinically important difference. *Journal of shoulder and elbow surgery*, 27(2), 298-305. <https://doi.org/10.1016/j.jse.2017.07.013>
- Harrison, J. C., Flumerton, G. R., Dawson, L., Jenkins, C. H., & Rodriguez, J. A. (2022). Computerized adaptive testing for the Oxford Hip, Knee, Shoulder, and Elbow scores: accurate measurement from fewer, and more patient focused, questions. *Bone & Joint Research*, 2(1), 766-772. <https://doi.org/10.1302/2636-3459/2022-00781>
- Kirkley, E., Griffin, S., McInroy, J. S., & Goldhahn, J. (2008). The development and evaluation of a disease-specific quality of life measurement tool for shoulder instability: The Western Ontario Shoulder Instability Index (WOSI). *The American Journal of sports medicine*, 36(3), 754-762. <https://doi.org/10.1177/0363546X07305901>
- Nyring, M. R., Olsen, B. S., Amundsen, A., & Rasmussen, J. V. (2021). Minimal Clinically Important Differences (MCID) for the Western Ontario Osteoarthritis of the Shoulder Index (WOSI) and the Oxford Shoulder Score (OSS). *Patient Related Outcome Measures*, 32, 299-306. <https://doi.org/10.2147/PRM.S316920>
- Beyer, A., Hsieh, J., Kulczyk, M., Griffin, S., Kotila, I., & Kotila, A. (2022). Reliability, Validity and Responsiveness of the Polish Version of the Western Ontario Shoulder Instability Index (WOSI-PL) in Patients after Arthroscopic Repair for Shoulder Instability. *International journal of environmental research and public health*, 19(1), 14015. <https://doi.org/10.3390/ijerph190114015>
- van der Linde, J. A., van Kampen, D. A., van Beest, L., ter Heijden, T. P., & Nelissen, R. G. (2013). The Oxford Shoulder Instability Score: validation in Dutch and first-time assessment of its smallest detectable change. *Journal of orthopaedic surgery and research*, 10, 146. <https://doi.org/0.1186/1747-7161-10-146>
- Wiertz, S. H., Rethberg, M. B., Hekman, K. M., Schobert, M., de Witte, P. B., Steijlens, M. P., & Dekker, J. (2014). Measurement properties of the Dutch version of the Western Ontario Shoulder Instability Index (WOSI). *Journal of orthopaedic science: official journal of the Japanese Orthopaedic Association*, 19(2), 242-249. <https://doi.org/10.1007/s00776-013-0317-8>
- Herscher, J. F., Kolk, A., van der Zwaal, P., Nagel, J., Velt, Veld, T. P., & Nelissen, R. G. (2015). The minimal detectable change of the Constant score in impingement, full-thickness tears, and massive rotator cuff tears. *Journal of shoulder and elbow surgery*, 24(3), 587-591. <https://doi.org/10.1016/j.jse.2014.09.030>
- Alshabani, M., Alqahtani, H. H., & Alqahtani, H. N. (2019). Reliability and responsiveness of the Arabic version of the Disability of the Arm, Shoulder and Hand (DASH-Arab). *Disability and rehabilitation*, 42(29-30), 2469-2476. <https://doi.org/10.1080/09638283.2019.165648>
- Slim, K., Nini, R., Forster, D., Kwasniewski, F., Faria, Y., & Chiriac, J. (2020). Methodological index for non-randomized studies (minors): development and validation of a new instrument. *ANZ journal of surgery*, 80(9), 712-716. <https://doi.org/10.1111/ans.14248>
- Godek, E., Gratz, J., Neric, J., Velt, Veld, T. P., Nelissen, R. G., & Herscher, J. F. (2022). Reverse shoulder arthroplasty renders better clinical scores at a minimum follow-up of two years for patients with no rotator cuff deficiency operated by the deltopectoral approach. *International orthopaedics*, 47(9), 2285-2293. [https](https://doi.org/10.1007/s00432-022-03872-0)